

- 19.30(523A,523E) Termination of business—records
- 19.31(523A,523E) Records
- 19.32(523A,523E) Annual reports
- 19.33 to 19.39 Reserved
- 19.40(523A,523E) Trust funds
- 19.41(523A,523E) Trust instruments
- 19.42(523A,523E) Investment of trust funds
- 19.43(523A,523E) Burial accounts
- 19.44(523A,523E) Delivered or warehoused merchandise
- 19.45 Reserved
- 19.46(523A,523E) Insurance funding
- 19.47 to 19.49 Reserved
- 19.50(523A,523E) Orders
- 19.51(523A,523E) Investigations and subpoenas
- 19.52(523A,523E) Audits
- 19.53 to 19.59 Reserved

RULES THAT APPLY ONLY TO  
IOWA CODE CHAPTER 523A

- 19.60(523A) Consumer price index adjustment
- 19.61 to 19.69 Reserved

RULES THAT APPLY ONLY TO  
IOWA CODE CHAPTER 523E

- 19.70(523E) Funds deposited at financial institutions
- 19.71(523E) Warehoused cemetery merchandise

*PROPERTY AND CASUALTY  
INSURANCE*

CHAPTER 20  
PROPERTY AND CASUALTY  
INSURANCE RATE AND FORM  
FILING PROCEDURES

- 20.1(515,515A,515C,518,518A,520) General requirements
- 20.2(515,515A,515C,518,518A,520) Filing synopsis
- 20.3(515,515A,515C,518,518A,520) Letter of transmittal
- 20.4(515,515C,518,518A,520) Policy form filing
- 20.5(515A) Rate or manual rule filing
- 20.6(515A) Exemption from filing requirement
- 20.7(515E) Risk retention and purchasing groups
- 20.8(515A) Rate filings for crop-hail insurance
- 20.9(515F) Licensing advisory organization
- 20.10(515F) Exemptions

- 20.11(515) Exemption from form and rate filing requirements

CHAPTER 21  
SURPLUS LINES REQUIREMENTS

- 21.1(515) Definitions
- 21.2(515) Nonadmitted insurer's duties
- 21.3(515) Producers' duties
- 21.4(515) Producers' duty to insured; evidence of coverage
- 21.5(515) Procedures for qualification as a nonadmitted insurer
- 21.6(515) Risk retention groups

CHAPTER 22  
FINANCIAL GUARANTY INSURANCE

- 22.1(515C) Definitions
- 22.2(515) Financial requirements and reserves

CHAPTER 23  
MOTOR VEHICLE SERVICE  
CONTRACTS

- 23.1(321I) Purpose
- 23.2(321I) Applicability and scope
- 23.3(321I) Title
- 23.4(321I) Application of insurance laws
- 23.5(321I) Exemption
- 23.6(321I) Administration
- 23.7(321I) Public information and inspection of records
- 23.8(321I) Public access to hearings
- 23.9 Reserved
- 23.10(321I) Filing procedures
- 23.11(321I) Service of process
- 23.12(321I) Fees
- 23.13(321I) Forms
- 23.14 to 23.19 Reserved
- 23.20(321I) Filing requirements
- 23.21(321I) Misrepresentations of government approval
- 23.22(321I) Prohibited acts—unfair or deceptive trade practices
- 23.23(321I) Disclosures
- 23.24(321I) Records
- 23.25(321I) Cancellation of a reimbursement insurance policy
- 23.26 to 23.29 Reserved
- 23.30(321I) Violations
- 23.31(321I) Procedure for public complaints
- 23.32(321I) Investigations and subpoenas
- 23.33(321I) Audits
- 23.34(321I) Orders



## CHAPTER 24 IOWA RETIREMENT FACILITIES

- 24.1(523D) Purpose
- 24.2(523D) Title
- 24.3(523D) Definitions
- 24.4(523D) Administration
- 24.5(523D) Misrepresentations
- 24.6(523D) Complaints
- 24.7(523D) Address for filings
- 24.8(523D) Fees
- 24.9(523D) Forms
- 24.10(523D) Financial statements, studies, and forecasts
- 24.11(523D) Amendments to the disclosure statement
- 24.12(523D) Standards for the disclosure statement

## CHAPTERS 25 and 26 Reserved

## CHAPTER 27 PREFERRED PROVIDER ARRANGEMENTS

- 27.1(514F) Purpose
- 27.2(514F) Definitions
- 27.3(514F) Preferred provider arrangements
- 27.4(514F) Health benefit plans
- 27.5(514F) Preferred provider participation requirements
- 27.6(514F) General requirements
- 27.7(514F) Civil penalties
- 27.8(514F) Health care insurer requirements

## CHAPTER 28 CREDIT LIFE AND CREDIT ACCIDENT AND HEALTH INSURANCE

- 28.1(509) Purpose
- 28.2(509) Definitions
- 28.3(509) Rights and treatment of debtors
- 28.4(509) Policy forms and related material
- 28.5(509) Determination of reasonableness of benefits in relation to premium charge
- 28.6 Reserved
- 28.7(509) Credit life insurance rates
- 28.8(509) Credit accident and health insurance
- 28.9(509) Refund formulas

- 28.10(509) Experience reports and adjustment of prima facie rates
- 28.11(509) Use of rates—direct business only
- 28.12(509) Supervision of credit insurance operations
- 28.13(509) Prohibited transactions
- 28.14(509) Disclosure and readability
- 28.15(509) Severability
- 28.16(509) Effective date
- 28.17(509) Fifteen-day free examination

## CHAPTER 29 CONTINUATION RIGHTS UNDER GROUP ACCIDENT AND HEALTH INSURANCE POLICIES

- 29.1(509B) Definitions
- 29.2(509B) Notice regarding continuation rights
- 29.3(509B) Qualifying events for continuation rights
- 29.4(509B) Interplay between chapter 509B and COBRA
- 29.5(509B) Effective date for compliance

## *LIFE AND HEALTH INSURANCE*

## CHAPTER 30 LIFE INSURANCE POLICIES

- 30.1(508) Purpose
- 30.2(508) Scope
- 30.3(508) Definitions
- 30.4(508) Prohibitions, regulations and disclosure requirements
- 30.5(508) General filing requirements
- 30.6(508) Backdating of life policies
- 30.7(508,515) Expiration date of policy vs. charter expiration date

## CHAPTER 31 LIFE INSURANCE COMPANIES— VARIABLE ANNUITIES CONTRACTS

- 31.1(508) Definitions
- 31.2(508) Insurance company qualifications
- 31.3(508) Filing, policy forms and provision
- 31.4(508) Separate account or accounts and investments
- 31.5(508) Required reports
- 31.6 Reserved
- 31.7(508) Foreign companies



CHAPTER 32  
DEPOSITS BY A DOMESTIC LIFE  
COMPANY IN A CUSTODIAN BANK  
OR CLEARING CORPORATION

- 32.1(508) Purpose
- 32.2(508) Definitions
- 32.3(508) Requirements upon custodial  
account and custodial  
agreement
- 32.4(508) Requirements upon custodians
- 32.5(508,511) Deposit of securities

CHAPTER 33  
VARIABLE LIFE INSURANCE  
MODEL REGULATION

- 33.1(508A) Authority
- 33.2(508A) Definitions
- 33.3(508A) Qualification of insurer to  
issue variable life insurance
- 33.4(508A) Insurance policy requirements
- 33.5(508A) Reserve liabilities for variable  
life insurance
- 33.6(508A) Separate accounts
- 33.7(508A) Information furnished to  
applicants
- 33.8(508A) Applications
- 33.9(508A) Reports to policyholders
- 33.10(508A) Foreign companies
- 33.11 Reserved
- 33.12(508A) Separability article

CHAPTER 34  
NONPROFIT HEALTH SERVICE  
CORPORATIONS

- 34.1(514) Purpose
- 34.2(514) Definitions
- 34.3(514) Annual report requirements
- 34.4(514) Arbitration
- 34.5(514) Filing requirements
- 34.6(514) Participating hospital contracts
- 34.7(514) Composition, nomination, and  
election of board of directors

- CHAPTER 35  
ACCIDENT AND HEALTH INSURANCE
- 35.1(509) Purpose
  - 35.2(509) Scope
  - 35.3(509) Definitions
  - 35.4(509) Required provisions
  - 35.5(509) Application and certificates not  
required
  - 35.6(509) Facility of payment

- 35.7(509) General filing requirements
- 35.8 to 35.19 Reserved
- 35.20(509A) Life and health self-funded plans
- 35.21(509) Review of certificates issued  
under group policies

LARGE GROUP HEALTH INSURANCE COVERAGE

- 35.22(509) Purpose
- 35.23(509) Definitions
- 35.24(509) Eligibility to enroll
- 35.25(509) Special enrollments
- 35.26(509) Group health insurance coverage  
policy requirements
- 35.27(509) Methods of counting creditable  
coverage
- 35.28(509) Certificates of creditable  
coverage
- 35.29(509) Notification requirements
- 35.30(509) Mental health benefits
- 35.31(509) Disclosure requirements
- 35.32(514C) Treatment options
- 35.33(514C) Emergency services
- 35.34(514C) Provider access

CHAPTER 36  
INDIVIDUAL ACCIDENT AND  
HEALTH—MINIMUM STANDARDS

- 36.1(514D) Purpose
- 36.2(514D) Applicability and scope
- 36.3(514D) Effective date
- 36.4(514D) Policy definitions
- 36.5(514D) Prohibited policy provisions
- 36.6(514D) Accident and sickness minimum  
standards for benefits
- 36.7(514D) Required disclosure provisions
- 36.8(507B) Requirements for replacement
- 36.9(514D) Filing requirements
- 36.10(514D) Loss ratios
- 36.11(514D) Certification
- 36.12(514D) Severability

CHAPTER 37  
MEDICARE SUPPLEMENT  
INSURANCE MINIMUM STANDARDS

- 37.1(514D) Purpose
- 37.2(514D) Applicability and scope
- 37.3(514D) Definitions
- 37.4(514D) Policy definitions and terms
- 37.5(514D) Policy provisions
- 37.6(514D) Minimum benefit standards for  
policies or certificates  
issued for delivery prior to  
January 1, 1992



- 37.7(514D) Benefit standards for policies or certificates issued or delivered on or after January 1, 1992
- 37.8(514D) Standard Medicare supplement benefit plans
- 37.9(514D) Medicare Select policies and certificates
- 37.10(514D) Open enrollment
- 37.11(514D) Standards for claims payment
- 37.12(514D) Loss ratio standards and refund or credit of premium
- 37.13(514D) Filing and approval of policies and certificates and premium rates
- 37.14(514D) Permitted compensation arrangements
- 37.15(514D) Required disclosure provisions
- 37.16(514D) Requirements for application forms and replacement coverage
- 37.17(514D) Filing requirements for advertising
- 37.18(514D) Standards for marketing
- 37.19(514D) Appropriateness of recommended purchase and excessive insurance
- 37.20(514D) Reporting of multiple policies
- 37.21(514D) Prohibition against preexisting conditions, waiting periods, elimination periods and probationary periods in replacement policies or certificates
- 37.22(514D) Separability
- 37.23(514D) Prohibition against using SHIP prepared materials
- 37.24(514D) Guarantee issue for eligible persons

#### CHAPTER 38

##### COORDINATION OF BENEFITS

- 38.1(509,514) Purpose
- 38.2(509,514) Applicability
- 38.3(509,514) Definitions
- 38.4(509,514) Model COB contract provision
- 38.5(509,514) Order of benefits
- 38.6(509,514) Reduction in a plan's benefits when it is secondary—general

- 38.7(509,514) Reasonable cash value of services
- 38.8(509,514) Excess and other nonconforming provisions
- 38.9(509,514) Allowable expense
- 38.10(509,514) Subrogation
- 38.11(509,514) Effective date—existing contracts

#### CHAPTER 39

##### LONG-TERM CARE INSURANCE

- 39.1(514G) Purpose
- 39.2(514G) Authority
- 39.3(514G) Applicability and scope
- 39.4(514G) Definitions
- 39.5(514G) Policy definitions
- 39.6(514G) Policy practices and provisions
- 39.7(514G) Required disclosure provisions
- 39.8(514G) Prohibition against postclaims underwriting
- 39.9(514D,514G) Minimum standards for home health care benefits in long-term care insurance policies
- 39.10(514D,514G) Requirement to offer inflation protection
- 39.11(514D,514G) Requirements for application forms and replacement coverage
- 39.12(514G) Reserve standards
- 39.13(514D) Loss ratio
- 39.14(514G) Filing requirement
- 39.15(514D,514G) Standards for marketing
- 39.16(514D,514G) Appropriateness of recommended purchase
- 39.17(514G) Prohibition against preexisting conditions and probationary periods in replacement policies or certificates
- 39.18(514G) Standard format outline of coverage
- 39.19(514G) Requirement to deliver shopper's guide
- 39.20(514G) Policy summary and delivery of life insurance policies with long-term care riders
- 39.21(514G) Reporting requirement for long-term care benefits funded through life insurance by acceleration of the death benefit
- 39.22(514G) Unintentional lapse



CHAPTER 40  
HEALTH MAINTENANCE  
ORGANIZATIONS

(HEALTH AND INSURANCE—JOINT RULES)

- 40.1(514B) Definitions
- 40.2(514B) Application
- 40.3(514B) Inspection of evidence of coverage
- 40.4(514B) Governing body and enrollee representation
- 40.5(514B) Quality of care
- 40.6(514B) Change of name
- 40.7(514B) Change of ownership
- 40.8(514B) Termination of services
- 40.9(514B) Complaints
- 40.10(514B) Cancellation of enrollees
- 40.11(514B) Application for certificate of authority
- 40.12(514B) Net worth
- 40.13(514B) Fidelity bond
- 40.14(514B) Annual report
- 40.15(514B) Cash or asset management agreements
- 40.16(514B) Deductibles and coinsurance charges
- 40.17(514B) Reinsurance
- 40.18(514B) Provider contracts
- 40.19(514B) Producers' duties
- 40.20(514B) Emergency services
- 40.21(514B) Reimbursement
- 40.22(514B) Health maintenance organization requirements
- 40.23(514B) Disclosure requirements
- 40.24(514B) Provider access

- CHAPTER 41  
LIMITED SERVICE ORGANIZATIONS
- 41.1(514B) Definitions
  - 41.2(514B) Application
  - 41.3(514B) Inspection of evidence of coverage
  - 41.4(514B) Governing body and enrollee representation
  - 41.5(514B) Quality of care
  - 41.6(514B) Change of name
  - 41.7(514B) Change of ownership
  - 41.8(514B) Complaints
  - 41.9(514B) Cancellation of enrollees
  - 41.10(514B) Application for certificate of authority
  - 41.11(514B) Net equity and deposit requirements
  - 41.12(514B) Fidelity bond
  - 41.13(514B) Annual report

- 41.14(514B) Cash or asset management agreements
- 41.15(514B) Reinsurance
- 41.16(514B) Provider contracts
- 41.17(514B) Producers' duties
- 41.18(514B) Emergency services
- 41.19(514B) Reimbursement
- 41.20(514B) Limited service organization requirements
- 41.21(514B) Disclosure requirements

CHAPTER 42  
GENDER-BLENDED MINIMUM  
NONFORFEITURE STANDARDS FOR LIFE  
INSURANCE

- 42.1(508) Purpose
- 42.2(508) Definitions
- 42.3(508) Use of gender-blended mortality tables
- 42.4(508) Unfair discrimination
- 42.5(508) Separability

CHAPTER 43  
ANNUITY MORTALITY TABLES FOR  
USE IN DETERMINING RESERVE  
LIABILITIES FOR ANNUITIES

- 43.1(508) Purpose
- 43.2(508) Definitions
- 43.3(508) Individual annuity or pure endowment contracts
- 43.4(508) Group annuity or pure endowment contracts
- 43.5(508) Application of the 1994 GAR Table
- 43.6(508) Separability

CHAPTER 44  
SMOKER/NONSMOKER MORTALITY  
TABLES FOR USE IN DETERMINING  
MINIMUM RESERVE LIABILITIES AND  
NONFORFEITURE BENEFITS

- 44.1(508) Purpose
- 44.2(508) Definitions
- 44.3(508) Alternate tables
- 44.4(508) Conditions
- 44.5(508) Separability



*INSURANCE HOLDING  
COMPANY SYSTEMS*

**CHAPTER 45  
INSURANCE HOLDING  
COMPANY SYSTEMS**

- 45.1(521A) Purpose
- 45.2(521A) Definitions
- 45.3(521A) Subsidiaries of domestic insurers
- 45.4(521A) Control acquisition of domestic insurer
- 45.5(521A) Registration of insurers
- 45.6(521A) Alternative and consolidated registrations
- 45.7(521A) Exemptions
- 45.8(521A) Disclaimers and termination of registration
- 45.9(521A) Transactions subject to prior notice—notice filing
- 45.10(521A) Extraordinary dividends and other distributions

**CHAPTER 46  
MUTUAL HOLDING COMPANIES**

- 46.1(521A) Purpose
- 46.2(521A) Definitions
- 46.3(521A) Application—contents—process
- 46.4(521A) Plan of reorganization
- 46.5(521A) Duties of the commissioner
- 46.6(521A) Regulation—compliance
- 46.7(521A) Reorganization of domestic mutual insurer with mutual insurance holding company
- 46.8(521A) Reorganization of foreign mutual insurer with mutual insurance holding company
- 46.9(521A) Mergers of mutual insurance holding companies
- 46.10(521A) Stock offerings
- 46.11(521A) Regulation of holding company system
- 46.12(521A) Reporting of stock ownership and transactions

**CHAPTER 47  
VALUATION OF LIFE  
INSURANCE POLICIES**

- 47.1(508) Purpose
- 47.2(508) Application
- 47.3(508) Definitions
- 47.4(508) General calculation requirements for basic reserves and premium deficiency reserves

- 47.5(508) Calculation of minimum valuation standard for policies with guaranteed nonlevel gross premiums or guaranteed nonlevel benefits (other than universal life policies)
- 47.6(508) Calculation of minimum valuation standard for flexible premium and fixed premium universal life insurance policies that contain provisions resulting in the ability of a policyowner to keep a policy in force over a secondary guarantee period

**CHAPTERS 48 and 49  
Reserved**

*SECURITIES*

**CHAPTER 50  
REGULATION OF SECURITIES  
OFFERINGS AND THOSE WHO ENGAGE  
IN THE SECURITIES BUSINESS**

- 50.1(502) Broker-dealer applications, updates, and renewals
- 50.2(502) Principals
- 50.3(502) Record-keeping requirements of broker-dealers
- 50.4(502) Minimum financial requirements and financial reporting requirements of broker-dealers
- 50.5 Reserved
- 50.6(261) Denial, suspension or revocation of license for failure to pay debts owed to or collected by the college student aid commission
- 50.7(502) Cost of examination
- 50.8(502) Registration of agents and issuers
- 50.9(502) Dishonest or unethical practices in the securities business
- 50.10(502) Unsolicited order exemption
- 50.11(252J) Denial, suspension or revocation of license for failure to pay child support
- 50.12(502) Rules of conduct
- 50.13(502) Offers on the Internet
- 50.14(502) Notice filing procedures for rule 506 offerings
- 50.15(502) Investment contract defined
- 50.16(502) Uniform limited offering exemption



50.17(502)	Commissions on limited offerings	50.82(502)	Broker-dealers having contracts with national and state banks
50.18(502)	Withdrawal of exemptions		
50.19(502)	Annual report to shareholders	50.83(502)	Brokerage services by credit unions, savings banks and savings and loan institutions
50.20(502)	Annual reports filed with the administrator		
50.21(502)	Continuing education requirements	50.84(502)	Broker-dealers having contracts with credit unions, savings banks and savings institutions
50.22(502)	Registration for small corporate offerings		
50.23(502)	Form of financial statements	50.85(502)	Filing requirements for agricultural cooperative associations
50.24(502)	Consent to service		
50.25(502)	Advertising	50.86 to 50.89	Reserved
50.26(502)	Trust indenture requirements	50.90(502)	World class foreign issuer exemption
50.27(502)	Delivery of prospectus	50.91(502)	Solicitations of interest prior to the filing of the registration statement
50.28(502)	Amendments to registration		
50.29(502)	Filing in coordination		
50.30(502)	Reports for qualification		
50.31 and 50.32	Reserved	50.92(502)	Streamlined registration for certain equity securities
50.33(502)	Limited registration of Canadian broker-dealers and agents	50.93(502)	Manual or electronically available information exemption
50.34(502)	Agent exclusion		
50.35(502)	Internet advertising by broker-dealers, investment advisers, broker-dealer agents, and investment adviser representatives	50.94(502)	Investment adviser applications and renewals
50.36 to 50.42	Reserved	50.95(502)	Investment adviser representative applications and renewals
50.43(502)	Fraudulent practices	50.96(502)	Federal covered adviser notice, renewal and update filings
50.44(502)	Rescission offers	50.97(502)	Updated filings and withdrawals
50.45(502)	Definition of offer	50.98 and 50.99	Reserved
50.46(502)	Institutional buyer exemption	50.100(502)	Definition of investment adviser representative of a federal covered adviser
50.47(502)	National Securities Exchange—exemption		
50.48(502)	Multijurisdictional disclosure system	50.101(502)	Investment adviser disclosure statement
50.49	Reserved	50.102	Reserved
50.50(502)	Registration and renewals of open-end management investment companies, unit investment trusts and face amount certificate companies	50.103(502)	Cash solicitation
50.51(502)	Notice filings for offerings of investment company securities	50.104(502)	Unethical business practices of investment advisers, and investment adviser representatives, or fraudulent or deceptive conduct by federal covered advisers
50.52 and 50.53	Reserved	50.105(502)	Custody of client funds or securities
50.54(502)	Rankings or ratings of direct participation programs	50.106(502)	Minimum financial requirements for investment advisers
50.55 and 50.56	Reserved	50.107(502)	Bonding requirements for certain investment advisers
50.57(502)	NASAA guidelines	50.108(502)	Record-keeping requirements for investment advisers
	REAL ESTATE PROGRAM	50.109(502)	Examination requirements
50.58 to 50.78	Reserved	50.110(502)	Waivers
50.79(502)	Act defined		
50.80	Reserved		
50.81(502)	Brokerage services by national and state banks		



## CHAPTERS 51 to 53

Reserved

## CHAPTER 54

## RESIDENTIAL SERVICE CONTRACTS

- 54.1(523C) Purpose
- 54.2(523C) Definitions
- 54.3(523C) Title
- 54.4(523C) Scope
- 54.5(523C) Application of insurance laws
- 54.6(523C) Exemptions
- 54.7 to 54.9 Reserved
- 54.10(523C) Administration
- 54.11(523C) Misrepresentations of government approval
- 54.12(523C) Public access to hearings
- 54.13(523C) Public access to records
- 54.14(523C) Procedure for public complaints
- 54.15(523C) Fees
- 54.16(523C) Forms
- 54.17 to 54.19 Reserved
- 54.20(523C) Service company licenses
- 54.21(523C) Suspension or revocation of license
- 54.22(523C) Licenses not transferable
- 54.23 to 54.29 Reserved
- 54.30(523C) Forms of contracts
- 54.31 to 54.39 Reserved
- 54.40(523C) Cessation of business—records
- 54.41(523C) Records
- 54.42(523C) Annual reports
- 54.43 to 54.49 Reserved
- 54.50(523C) Prohibited acts or practices
- 54.51(523C) Orders
- 54.52(523C) Investigations and subpoenas
- 54.53(523C) Audits

## CHAPTER 55

IOWA BUSINESS OPPORTUNITY  
SALES ACT

- 55.1(523B) Definitions and interpretations
- 55.2(523B) Jurisdictional authority
- 55.3(523B) Registration application procedure
- 55.4(523B) Exemption application procedure
- 55.5(523B) Surety bond, trust account or letter of credit
- 55.6(523B) Orders
- 55.7(523B) Investigations and subpoenas
- 55.8(523B) Forms
- 55.9(523B) Fees

## CHAPTER 56

WORKERS' COMPENSATION GROUP  
SELF-INSURANCE

- 56.1(87,505) General provisions
- 56.2(87,505) Definitions
- 56.3(87,505) Requirements for self-insurance
- 56.4 Reserved
- 56.5(87,505) Excess insurance
- 56.6(87,505) Rates and reporting of rates
- 56.7(87,505) Special provisions
- 56.8(87,505) Certificate of approval; termination
- 56.9(87,505) Examinations
- 56.10(87,505) Board of trustees—membership, powers, duties, and prohibitions
- 56.11(87,505) Association membership; termination; liability
- 56.12(87,505) Requirements of sales agents
- 56.13(87,505) Requirements for continued approval
- 56.14(87,505) Misrepresentation prohibited
- 56.15(87,505) Investments
- 56.16(87,505) Refunds
- 56.17(87,505) Premium payment; reserves
- 56.18(87,505) Deficits and insolvencies
- 56.19(87,505) Grounds for nonrenewal or revocation of a certificate of relief from insurance
- 56.20(87,505) Hearing and appeal
- 56.21(87,505) Existing approved self-insurers
- 56.22(87,505) Severability clause

## CHAPTER 57

WORKERS' COMPENSATION  
SELF-INSURANCE FOR INDIVIDUAL  
EMPLOYERS

- 57.1(87,505) General provisions
- 57.2(87,505) Definitions
- 57.3(87,505) Requirements for self-insurance
- 57.4(87,505) Additional security requirements
- 57.5(87,505) Application for an individual self-insurer
- 57.6 Reserved
- 57.7(87,505) Excess insurance
- 57.8(87,505) Insolvency
- 57.9(87,505) Renewals
- 57.10(87,505) Periodic examination
- 57.11(87,505) Grounds for nonrenewal or revocation of a certificate of relief from insurance
- 57.12(87,505) Hearing and appeal
- 57.13(87,505) Existing approved self-insurers
- 57.14(87,505) Severability clause



CHAPTER 58  
THIRD-PARTY ADMINISTRATORS

- 58.1(510) Purpose
- 58.2(510) Definitions
- 58.3(510) Application
- 58.4(510) Application by corporation,  
association or benefit society
- 58.5(510) Surety bond
- 58.6(510) Waiver procedure
- 58.7(510) Change of information
- 58.8(510) Inquiry by commissioner
- 58.9(510) Renewal procedure
- 58.10(510) Periodic examination
- 58.11(510) Grounds for denial, nonrenewal,  
suspension or revocation of  
certificate
- 58.12(510) Hearing and appeal
- 58.13(510) Severability clause

CHAPTERS 59 to 69  
Reserved

*MANAGED HEALTH CARE*

CHAPTER 70  
UTILIZATION REVIEW

- 70.1(505,514F) Purpose
- 70.2(505,514F) Definitions
- 70.3(505,514F) Application
- 70.4(505,514F) Standards
- 70.5(505,514F) Retroactive application
- 70.6(505,514F) Variances allowed
- 70.7(505,514F) Confidentiality
- 70.8(76GA,ch1202) Utilization review of  
postdelivery benefits  
and care
- 70.9(505,507B,514F) Enforcement

*HEALTH BENEFIT PLANS*

CHAPTER 71  
SMALL GROUP HEALTH  
BENEFIT PLANS

- 71.1(513B) Purpose
- 71.2(513B) Definitions
- 71.3(513B) Applicability and scope
- 71.4(513B) Establishment of classes of  
business
- 71.5(513B) Transition for assumptions of  
business from another carrier

- 71.6(513B) Restrictions relating to premium  
rates
- 71.7(513B) Requirement to insure entire  
groups
- 71.8(513B) Case characteristics
- 71.9(513B) Application to reenter state
- 71.10(513B) Creditable coverage
- 71.11(513B) Rules related to fair marketing
- 71.12(513B) Status of carriers as small  
employer carriers
- 71.13(513B) Restoration of coverage
- 71.14(513B) Basic health plan and standard  
health plan policy forms
- 71.15(513B) Methods of counting creditable  
coverage
- 71.16(513B) Certificates of creditable coverage
- 71.17(513B) Notification requirements
- 71.18(513B) Special enrollments
- 71.19(513B) Disclosure requirements
- 71.20(514C) Treatment options
- 71.21(514C) Emergency services
- 71.22(514C) Provider access

CHAPTER 72  
LONG-TERM CARE ASSET  
PRESERVATION PROGRAM

- 72.1(249G) Purpose
- 72.2(249G) Applicability and scope
- 72.3(249G) Definitions
- 72.4(249G) Qualification of long-term care  
insurance policies and  
certificates
- 72.5(249G) Standards for marketing
- 72.6(249G) Minimum benefit standards for  
qualifying policies and  
certificates
- 72.7(249G) Required policy and certificate  
provisions
- 72.8(249G) Prohibited provisions in certified  
policies or certificates
- 72.9(249G) Reporting requirements
- 72.10(249G) Maintaining auditing information
- 72.11(249G) Reporting on asset protection
- 72.12(249G) Preparing a service summary
- 72.13(249G) Plan of action
- 72.14(249G) Auditing and correcting  
deficiencies in issuer record  
keeping
- 72.15(249G) Separability



CHAPTER 73  
HEALTH INSURANCE  
PURCHASING COOPERATIVES

- 73.1(75GA,ch158) Purpose
- 73.2(75GA,ch158) Applicability and scope
- 73.3(75GA,ch158) Definitions
- 73.4(75GA,ch158) Division duties—  
application—filing  
requirements—  
license—audits and  
examinations
- 73.5(75GA,ch158) Fidelity bond—letter of  
credit
- 73.6(75GA,ch158) Annual report
- 73.7(75GA,ch158) Business plan
- 73.8(75GA,ch158) Participants
- 73.9(75GA,ch158) Health insurance purchasing  
cooperative—product  
offerings—exemptions
- 73.10(75GA,ch158) Insurance risk
- 73.11(75GA,ch158) Rates
- 73.12(75GA,ch158) Election—disclosure and  
confidentiality
- 73.13(75GA,ch158) Structure—merger and  
consolidation
- 73.14(75GA,ch158) Conflict of interest
- 73.15(75GA,ch158) Nondiscrimination and  
retaliatory protections
- 73.16(75GA,ch158) Annual health insurance  
or health care benefits  
plan selection
- 73.17(75GA,ch158) License subject to  
conditions—waivers
- 73.18(75GA,ch158) Procedures
- 73.19(75GA,ch158) Data collection—quality  
evaluation
- 73.20(75GA,ch158) Examination—costs
- 73.21(75GA,ch158) Trade practices
- 73.22(75GA,ch158) Grounds for denial,  
nonrenewal,  
suspension or  
revocation of  
certificate
- 73.23(75GA,ch158) Hearing and appeal
- 73.24(75GA,ch158) Solvency

CHAPTER 74  
HEALTH CARE ACCESS

- 74.1(505) Purpose
- 74.2(505) Applicability and scope
- 74.3(505) Definitions

- 74.4(505) Access to health care or health  
insurance for an employee
- 74.5(505) Employer participation
- 74.6(505) Violation of chapter

CHAPTER 75  
IOWA INDIVIDUAL  
HEALTH BENEFIT PLANS

- 75.1(513C) Purpose
- 75.2(513C) Definitions
- 75.3(513C) Applicability and scope
- 75.4(513C) Establishment of blocks of  
business
- 75.5(513C) Transition for assumptions of  
business from another carrier  
or ODS
- 75.6(513C) Restrictions relating to premium  
rates
- 75.7(513C) Availability of coverage
- 75.8(513C) Disclosure of information
- 75.9(513C) Standards to ensure fair  
marketing
- 75.10(513C) Basic health benefit plan and  
standard health benefit plan  
policy forms
- 75.11(513C) Maternity benefit rider
- 75.12(513C) Disclosure requirements
- 75.13(514C) Treatment options
- 75.14(514C) Emergency services
- 75.15(514C) Provider access
- 75.16(514C) Diabetic coverage

CHAPTER 76  
EXTERNAL REVIEW

- 76.1(78GA,SF276) Purpose
- 76.2(78GA,SF276) Applicable law
- 76.3(78GA,SF276) Notice of coverage  
decision and content
- 76.4(78GA,SF276) External review request
- 76.5(78GA,SF276) Certification of external  
review
- 76.6(78GA,SF276) Expedited review
- 76.7(78GA,SF276) Decision notification
- 76.8(78GA,SF276) Carrier information
- 76.9(78GA,SF276) Certification of  
independent review  
entity

CHAPTERS 77 to 79  
Reserved



*INSURANCE COVERAGE FOR  
PEDIATRIC PREVENTIVE SERVICES*

CHAPTER 80  
WELL-CHILD CARE

- 80.1(505,514H) Purpose
- 80.2(505,514H) Applicability and scope
- 80.3(505,514H) Effective date
- 80.4(505,514H) Policy definitions
- 80.5(505,514H) Benefit plan

- CHAPTER 81  
POSTDELIVERY BENEFITS AND CARE
- 81.1(76GA,ch1202) Purpose
  - 81.2(76GA,ch1202) Applicability and scope
  - 81.3(76GA,ch1202) Postdelivery benefits

CHAPTERS 82 to 99  
Reserved

- CHAPTER 100  
COMMUNITY HEALTH MANAGEMENT  
INFORMATION SYSTEM
- 100.1(144C) Authority and function
  - 100.2(144C) Scope
  - 100.3(144C) General definitions
  - 100.4(144C) Organization
  - 100.5(144C) Duties of the board
  - 100.6(144C) Duties of the insurance division
  - 100.7(144C) Advisory committees
  - 100.8(144C) Confidentiality of information
  - 100.9(144C) Transaction networks
  - 100.10(144C) System implementation